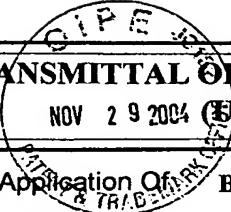


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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> NOV 29 2004 (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. MSU 4.1-596
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In Re Application Of **Brage Golding, Connie Bednarski-Meinke and Zhong-ning Dai**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/634,908	08/05/03		21036	1765	7369

Title: **HETEROEPITAXIAL DIAMOND AND DIAMOND NUCLEI PRECURSORS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

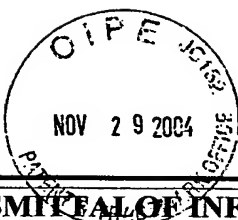
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
MSU 4.1-596

In Re Application: **Brage Golding, Connie Bednarski-Meinke and Zhong-ning Dai**

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10/634,908	08/05/03		21036	1765	7369

Title: **HETEROEPITAXIAL DIAMOND AND DIAMOND NUCLEI PRECURSORS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

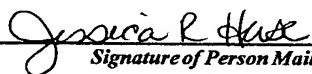
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Signature

Dated: 11/26/04

Ian C. McLeod  
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2190 Commons Parkway  
Okemos, Michigan 48864  
Phone No. (517) 347-4100  
Customer No. 21036

cc:



MSU 4.1-596

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Brage Golding, Connie Bednarski-Meinke and  
Zhong-ning Dai

Serial No.: 10/634,908

Filed : August 5, 2003

For : HETEROEPITAXIAL DIAMOND AND DIAMOND NUCLEI  
PRECURSORS

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

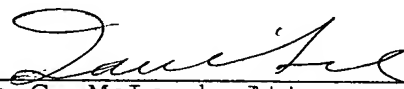
Sir:

**INFORMATION STATEMENT UNDER  
RULE 1.97 and 1.98**

Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

Respectfully,

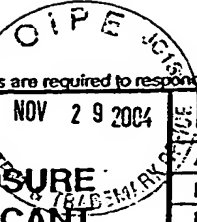
  
\_\_\_\_\_  
Ian C. McLeod, Attorney  
Registration No. 20,931

Date: 11/26/04

McLEOD & MOYNE, P.C.  
2190 Commons Parkway  
Okemos, Michigan 48864

(517) 347-4100  
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PTO/SB/DBA (10-96)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Brage Golding
Group Art Unit	
Examiner Name	
Attorney Docket Number	MSU 4.1-596

Sheet 1 of

## U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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		Filing Date	
		First Named Inventor	Brage Golding
		Group Art Unit	
		Examiner Name	
Sheet 1 of	Attorney Docket Number	MSU 4.1-596	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	12
	A1	Ohtsuka, K., et al., Jpn. J. Appl. Phys. 36, 1214 (1997)	
	B1	Schreck, M., et al., Appl. Phys. Lett. 74, 650 (1999)	
	C1	Tsubota, T., et al., Diamond and Related Materials 9, 1380 (2000)	
	D1	Hormann, et al., Diamond and Related Materials 9, 256 (2000)	
	E1	Dai, Z., et al., Proc. Mat. Res. Soc., P11.35, 684 (2001)	
	F1	Wolter, S., et al., Appl. Phys. Lett. 62, 1215 (1993)	
	G1	Jiang, X., Diamond and Related Materials 2, 1112 (1993)	
	H1	Wild, C., et al., Diamond and Related Materials 3, 373 (1994)	
	I1	Ohtsuka, K., et al., Jpn. J. Appl. Phys. Pt. 2, 35 (1996) L1072	
	J1	Ohtsuka, K., et al., Jpn. J. Appl. Phys. Pt. 2, 36 (1997) L1214 Same A1	
	K1	Schreck, M., et al., Appl. Phys. Lett. 78, 192 (2001)	

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Application Number				
Filing Date				
First Named Inventor	Brage Golding			
Group Art Unit				
Examiner Name				
Attorney Docket Number	MSU 4.1-596			

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	L2	Yugo, S., et al., Appl. Phys. Lett. 58, 1036 (1991)	
	M2	Loloee, R., et al., Phil. Mag. A 81, 261 (2001)	
	N2	Wolfing, B., et al., J. Phys: Condensed Matter 11, 2669 (1999)	
	O2	Vargas, R., et al., Appl. Phys. Lett. 65, 1094 (1994)	
	P2	Kronberg, M.L., Acta Metall. 5, 507 (1957)	
	Q2	Kuo, K.P., et al., Diamond and Related Materials 6, 1097 (1997)	
	R2	Kulisch, W., et al., Physica Status Solidi A 154, 155 (1996)	
	S2	Stockel, et al., J. Appl. Phys. 79, 768 (1996)	
	T2	Whitfield, M.D., et al., Diamond and Related Material 9, 305 (2000)	

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